

Sheet 1 of 4

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06868-005002	Application No. 08/984,900
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Anthony J.F. D'Apice et al.	
(37 CFR §1.98(b))		Filing Date December 4, 1997	Group Art Unit 1633

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

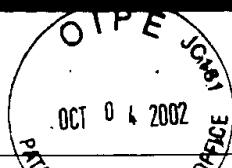
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Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes      No
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
✓	AQ	Abstracts from the 2nd International Congress on Xenotransplantation, Cambridge, England, Sept. 26-29, 1993					
✓	AR	Abstracts from 11th Meeting of Transplant Society of Australia and New Zealand, Australia, May 5-7, 1993					
✓	AS	Statutory Declaration of Nicholas J. Deacon from Victoria, Australia (11/30/00) including an exhibit listed immediately below: Exhibit NJD-1      Curriculum Vitae of Nicholas J. Deacon					
	AT	Statutory Declaration of Joseph A. Trapani from Victoria, Australia (11/20/00) including an exhibit listed immediately below:					

Examiner Signature S. A. J. D'Apice	Date Considered 12-4-97
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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Sheet 2 of 4

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(Modified)

U.S. Department of Commerce  
Patent and Trademark Office

Attorney's Docket No.  
06868-005002

Application No.  
08/984,900

**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant  
Anthony J.F. D'Apice et al.

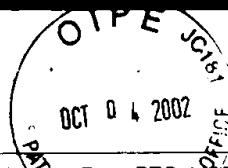
Filing Date  
December 4, 1997

Group Art Unit  
1633

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
		Exhibit JAT-1 Curriculum Vitae of Joseph A. Trapani
AU		Statutory Declaration of Mauro S. Sandrin from Victoria, Australia (7/6/00) including exhibits listed immediately below:
		Exhibit MSS-1 Curriculum Vitae of Mauro S. Sandrin
		Exhibit MSS-2 Flow chart of work leading up to ARI's Invention
		Exhibit MSS-3 Copy of electronic file pPGT (Sequence Listing)
		Exhibit MSS-4 Copy of gal sequence
		Exhibit MSS-5 Copy of Figure 5
		Exhibit MSS-6 Table Conservative Amino Acid Distributions
AV		Statutory Declaration of Mauro S. Sandrin from Victoria, Australia (11/3/00) including an exhibit listed immediately below:
		Exhibit MSS-7 Sequence Listing
AW		Statutory Declaration of Susan Sau Heng Wong from Victoria, Australia (11/3/00) including exhibits listed immediately below:
		SSHW-1 Curriculum Vitae of Susan S. H. Wong
		SSHW-2 Chapter 3 of the Australian Patent Office Manual of Practice and Procedure
AX		Statutory Declaration of Carol E. Scott from New South Wales, Australia (6/23/00)
AY		Statutory Declaration of Beverley Hore from Victoria, Australia (6/23/00)
AZ		Statutory Declaration of Kirsten Neilson from New South Wales, Australia (7/4/00)
AAA		Statutory Declaration of Kirsten Neilson from New South Wales, Australia (7/6/00)
ABB		Statutory Declaration of Gretchen Sleeman of New South Wales, Australia (7/7/00)
ACC		Statutory Declaration of Margaret Pratt of Victoria, Australia (7/10/00)
ADD		Statutory Declaration of Margaret Pratt of Victoria, Australia (7/10/00)
AEE		Statutory Declaration of Beverley Hore from Victoria, Australia (10/6/00)
AFF		Statutory Declaration of Bruce E. Loveland from Victoria, Australia (7/6/00)
AGG		Statutory Declaration of Richard G. Tearle (4/9/01) including exhibits listed immediately below:
AHH		Exhibit RGT-1 Curriculum Vitae of Richard G. Tearle
		Exhibit RGT-2 Copy of page of laboratory notebook of Richard Gordon Tearle dated 9/27/74
		Affidavit of Allan Robins from Georgia, U.S.A. (8/31/01) including exhibits listed immediately below:
		Exhibit AR-1 Brief CV of Dr. Allan Robins and selected Publications
		Exhibit AR-2 Confidentiality Agreement
		Exhibit AR-3 Overcoming Hyperacute Rejection

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Patent and Trademark Office

Attorney's Docket No.  
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Application No. 08/984 900

## **Information Disclosure Statement by Applicant**

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(37 CFR §1.98(b))

**Applicant**

Filing Date

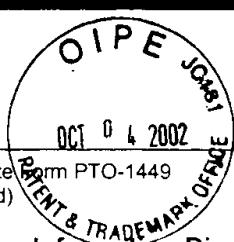
Group Art Unit  
1633

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document	
		Exhibit AR-4 Letter of Intent dated 6/24/93	
		Statutory Declaration of Dr. Anthony J. F. D'Apice (11/2/01) including exhibits listed immediately below:	
		AJFD-1	Curriculum Vitae of Anthony D'Apice
		AJFD-2	Application to Baxter Healthcare Corp. Extramural Grant Program
		AJFD-3	Research Agreement
		AJFD-4	Xenograft Project (6/13/91)
		AJFD-5	Funding of Xenograft Project (11/13/92)
		AJFD-6	Research Agreement
		AJFD-7	Passport of Anthony J. F. D'Apice
		Statutory Declaration of Julie M. Wilkie from Victoria, Australia (7/5/00), with the exhibits immediately below:	
		JMW-1	Letters from The Austin Research Institute to Dr John Smeaton, dated 20 April and 8 June 1993; Dr Smeaton's reply dated 16 June 1993
		JMW-2	Programme and abstracts for the eleventh scientific meeting of the Transplant Society of Australia and New Zealand, held in Canberra on 5-7 May 1993, front page, pgs. 1, 5, 7, 51 and 62
		JMW-4	17 Programme and abstracts for the second International Congress on Xenotransplantation held in Cambridge, England 26-29 September 1993 - front pages, pages 8, 18, 211 and letter from Congress organisations to Mauro S. Sandrin August 1993
		JMW-8	Australian Patent No. 690100
		JMW-10	Provisional Patent Application PL7854 filed March 16, 1993
		JMW-13	Australian 15445/95 (Bresagen parent application)
		JMW-14	Australian-B 695373 (15445/95) - (Bresagen parent application)
		JMW-15	Prosecution documents of Au-A 77428/98 comprising Examiner's first report of October 1, 1997 and second report of May 8, 1998, responses thereto dated April 20 and June 20, 1998, request to amend and proposed amendments
		JMW-16	Prosecution documents of AU-A 77428/98 comprising Examiner's first report of April 12, 1999, response thereto dated July 30, 1999, request to amend and proposed amendments
		JMW-29	B. Hogan, F. Constantini E. Lacy, 'Manipulating the mouse embryo - a laboratory manual', Cold Spring Harbour Laboratory, 1986, front pages
		JMW-36	Certificate of the Registration of a Company showing that Bresatec Ltd. changed its name to Bresagen Ltd.
		Statutory Declaration of Julie M. Wilkie, Patent Attorney at Callinan Lawrie, Victoria, Australia (7/6/00) including Exhibits immediately listed below:	
		Exhibit JMW-39	McKenzie et al. (1968) The Lancet pp 386-387
		Exhibit JMW-40	Galili et al. (1984) J. Exp. Med. Vol. 160

Examiner Signature	Date Considered
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<b>Information Disclosure Statement</b> <b>by Applicant</b> <small>(Use several sheets if necessary)</small> <small>(37 CFR §1.98(b))</small>		<b>Applicant</b> Anthony J.F. D'Apice et al. <b>Filing Date</b> December 4, 1997 <b>Group Art Unit</b> 1633		

**Other Documents (include Author, Title, Date, and Place of Publication)**

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ALL		Statutory Declaration of Julie M. Wilkie, Patent Attorney at Callinan Lawrie, Victoria, Australia (8/9/00) including Exhibits JMW-41 –JMW43 (replacement Exhibits for Exhibits JMW-11, JMW-14 and JMW-34) listed below:	
		JMW-41	US Patent No. 188607 filed January 1994 ("Bresagen priority document 1")
		JMW-42	Au-B 695373 (05445/95) – ("Bresagen parent patent")
		JMW-43	D. Cosgrove et al. (1991) "Mice lacking MHC Class II Molecules", Cell 66:1051-1066
AMM		Statutory Declaration of Ian Farquar Campbell McKenzie of Victoria, Australia (7/27/00)	
ANN		Statement of Grounds of Opposition and Particulars Relating to Each Ground (4/7/00)	
AOO		Application for an Extension of Time for lodging Evidence-in-Support (10/9/00)	
APP		Application for an Extension of Time for lodging Evidence-in-Support (7/7/00)	
AQQ		Statutory Declaration of John Cox on 1/8/02	
ARR		Statutory Declaration of Prof. Robert Charles Atkins on (1/11/02) with exhibit 1 listed immediately below:	
		Exhibit RCA-1	Curriculum Vitae of Robert Charles Atkins
ASS		Statutory Declaration of Associate Professor Emma Whitelaw (4/4/02) with exhibit 1 listed immediately below:	
		Exhibit EW-1	Curriculum Vitae of Emma Whitelaw
ATT		Statutory Declaration of Robynne Lyndsay Sanders (4/2/02) with exhibits listed immediately below:	
		Exhibit RLS-1	Application to Baxter Healthcare Corporation Extramural Grant Program
		Exhibit RLS-2	Research Agreement
		Exhibit RLS-3	Research Agreement
		Exhibit RLS-4	PNAS Online

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<i>[Signature]</i>	
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